



DIPARTIMENTO
DI FISICA
E ASTRONOMIA
Galileo Galilei



Quantum gravity constraints from EFT strings

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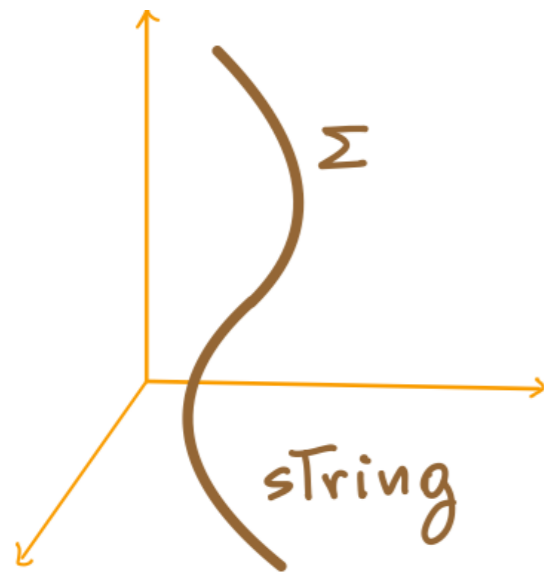
work in collaboration with: N. Riso & T. Weigand 2210.xxx

S. Lanza, F. Marchesano & I. Valenzuela

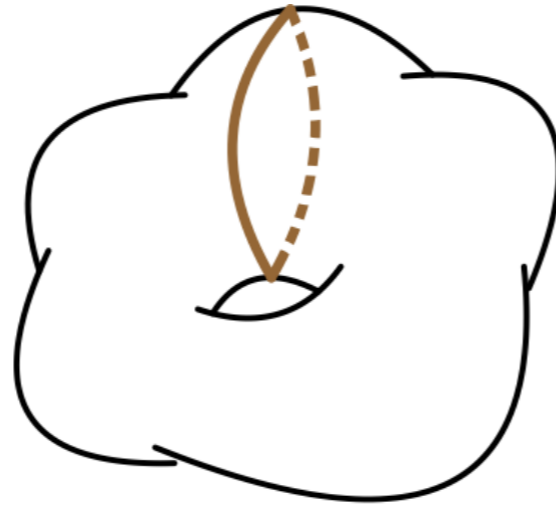
2104.05726, 2006.15154, 2205.04532

“Back to the Swamp” 2022 – Madrid

📌 In QG models, EFTs are populated by strings (and other extended objects)



x



$$e^{i \int_{\Sigma} B_{2,i}}$$

↓

existence by completeness hypothesis

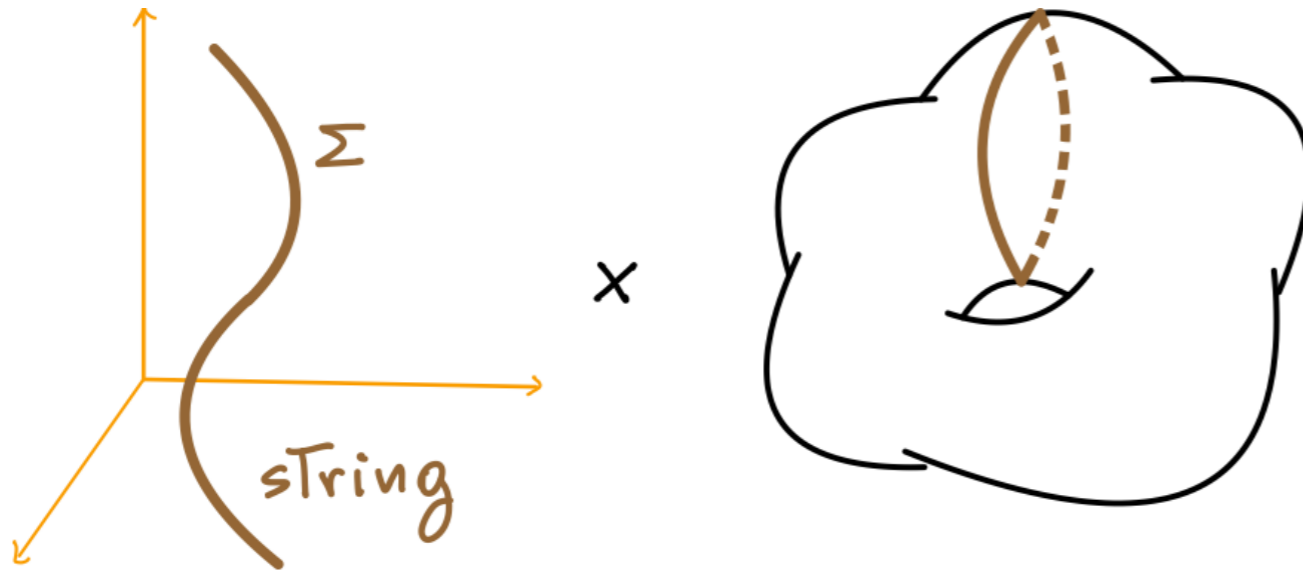
[Polchinski '03, Banks & Seiberg '11, Halow-Ooguri '18,...]

📌 I'll focus on 'fundamental' BPS strings in $d=4$ $\mathcal{N} = 1$ EFT

see also
[Reece '18]

↪ natural probes of quantum gravity UV completion

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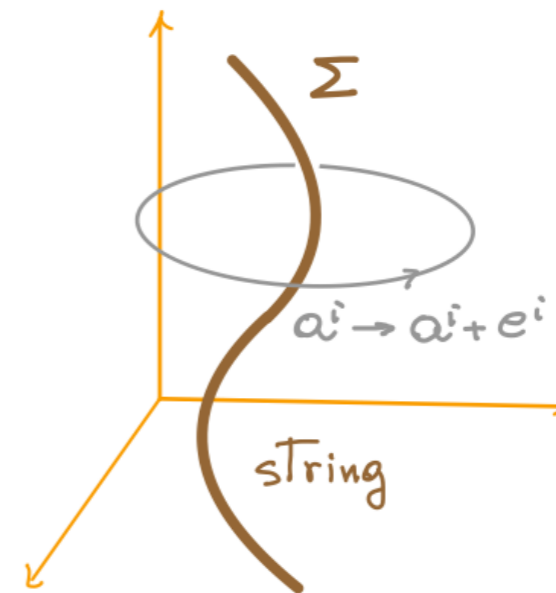
↓

existence by
completeness hypothesis

[Polchinski '03, Banks & Seiberg '11, Halow-Ooguri '18,...]

📌 $dB_2 \sim *da$

→ axionic strings!

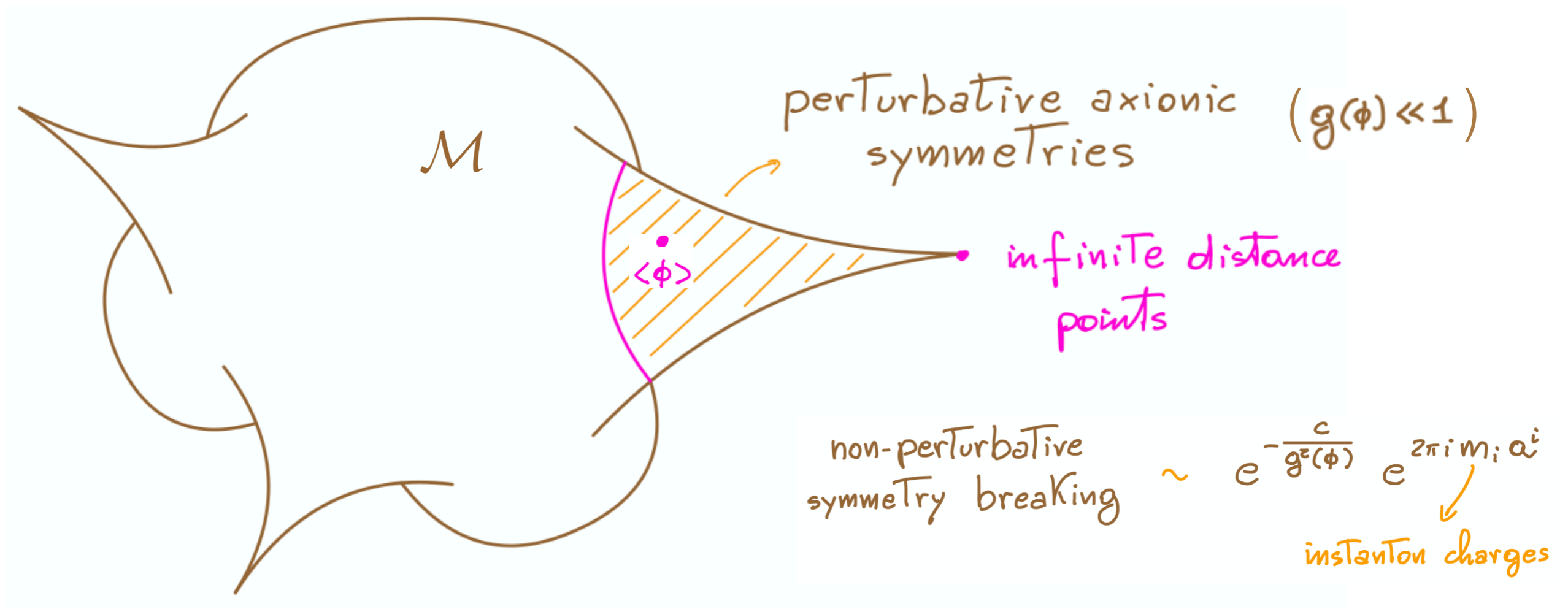


Strings in 4d?

• Perturbative axionic shift symmetries

~~global symmetries~~

[Misner-Wheeler '56,...,
Kallosh-Linde-Linde-Susskind '95, ...,
Banks & Seiberg '06,...,
Harlow-Ooguri '19]



• Fundamental BPS strings as natural probes of asymptotic field space regions

Strings in 4d?

 **Warning:** strong back-reaction:

- * bulk vacuum destroyed
- * fields possibly driven to strongly coupled regions
- * IR effects out of control

See [\[Marchesano-Wiesner '22\]](#)

Strings in 4d?

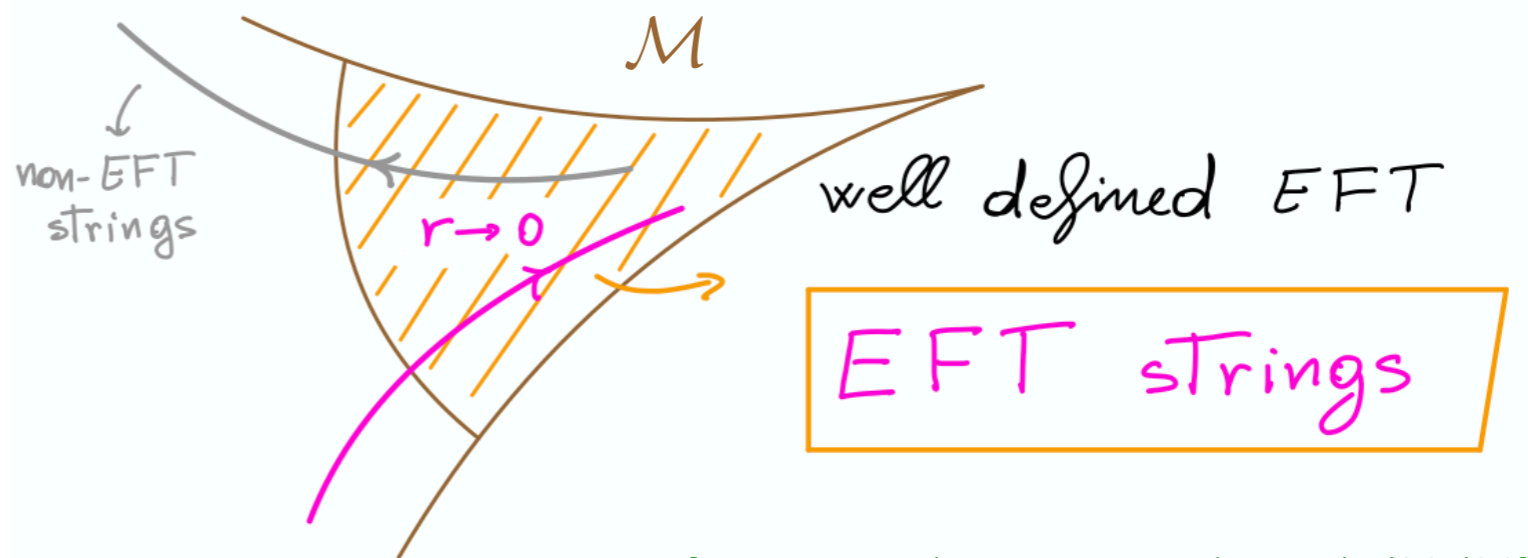
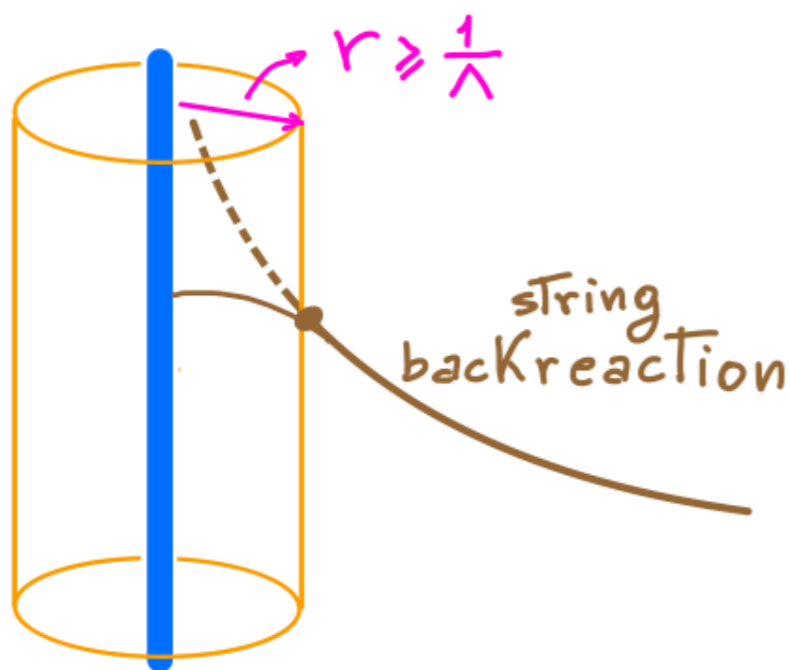
Warning: strong back-reaction:

- * bulk vacuum destroyed
- * fields possibly driven to strongly coupled regions
- * IR effects out of control

See [Marchesano-Wiesner '22]

However, strings can still have a well defined EFT description

[..., Goldberger&Wise '01, Michel-Mintun-Polchinski-Puhm-Saad '14, Polchinski '15...,]



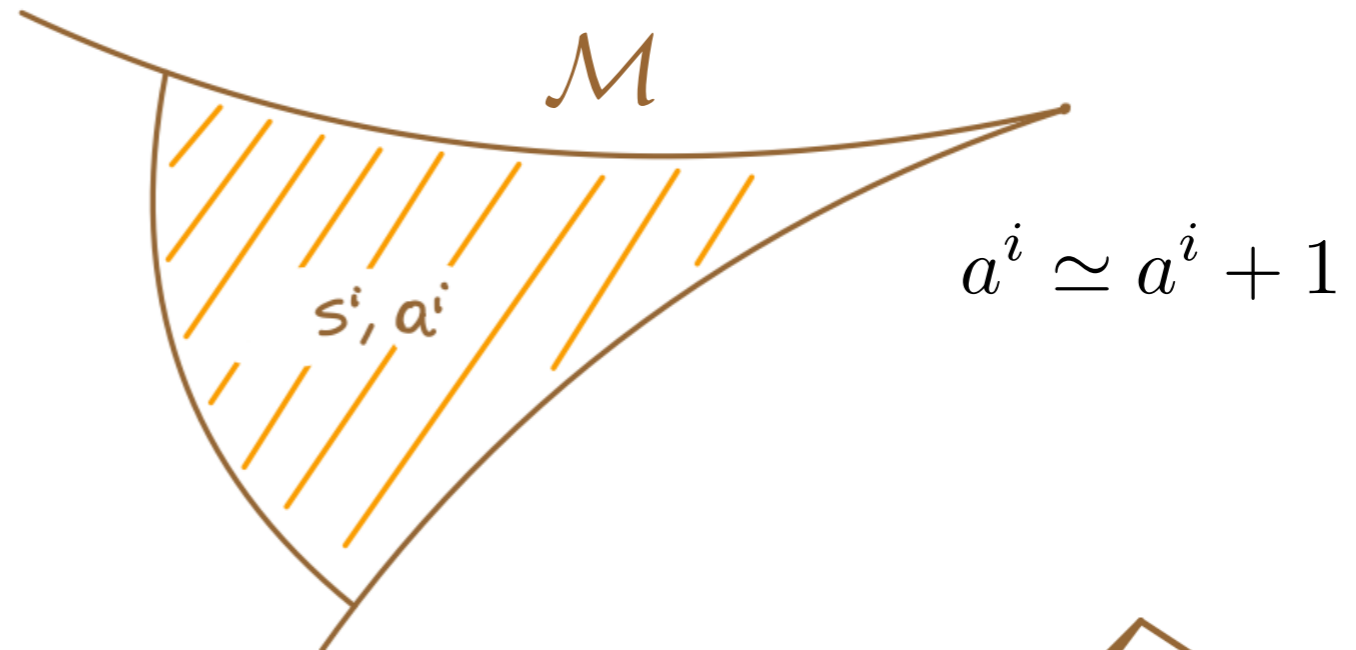
[Lanza-Marchesano-LM-Valenzuela '20-'21]

EFT strings

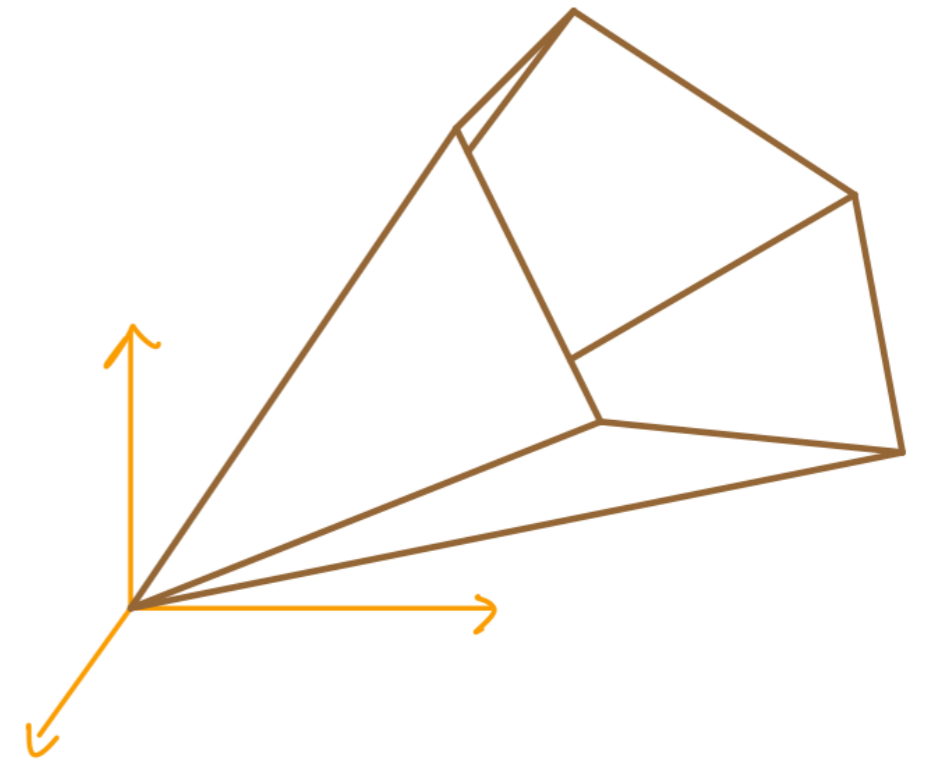
• Perturbative region:

$$t^i \equiv a^i + i s^i$$

axions \downarrow saxions
(chiral mult.)



• $\{s^i\} \in \{\text{saxionic cone}\}$

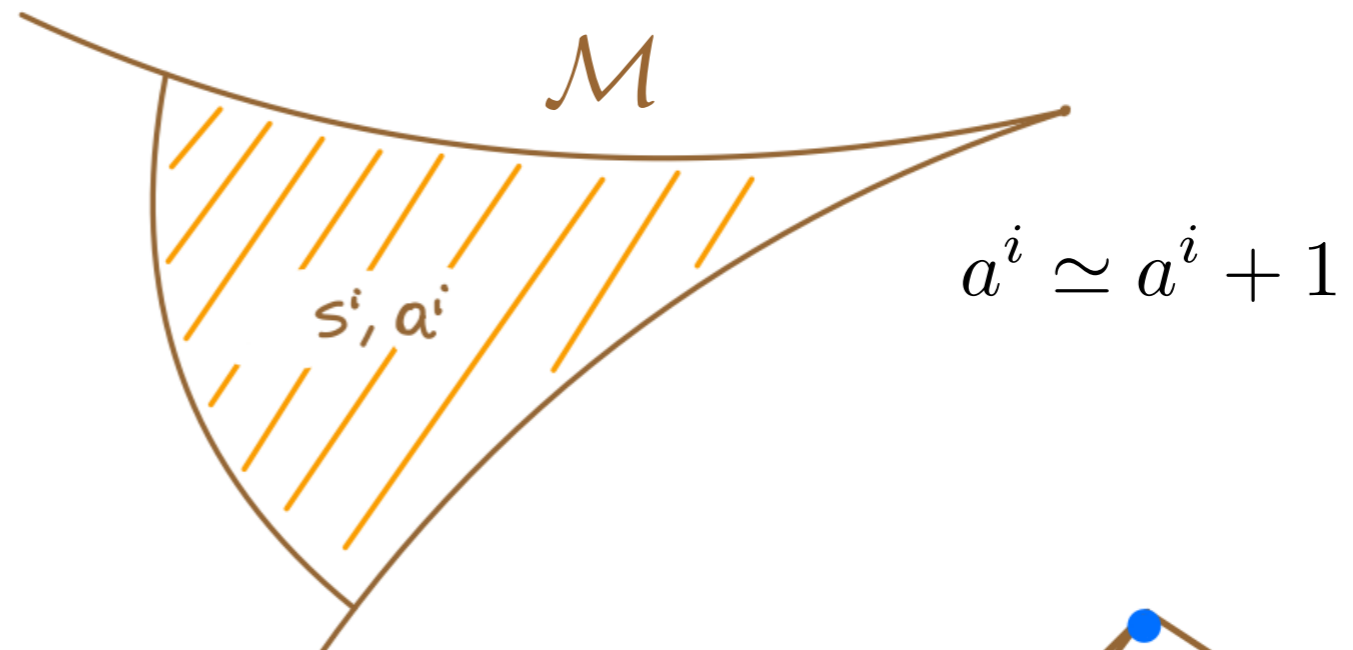


EFT strings

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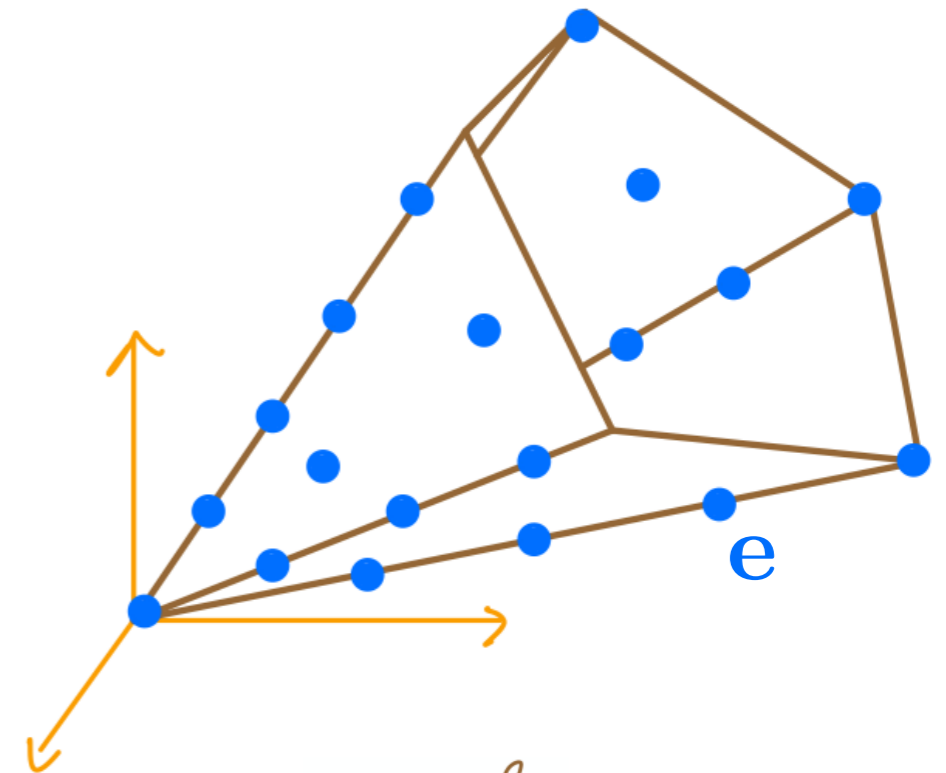
• $\{s^i\} \in \{\text{saxionic cone}\}$

• EFT string charges:

$$\mathbf{e} \equiv \{e^i\} \in \mathcal{C}_S^{\text{EFT}} \equiv \{\text{saxionic cone}\}_{\mathbb{Z}}$$

EFT strings strongly characterize asymptotic field space regions!

[Lanza-Marchesano-LM-Valenzuela '20-'21]



see also

[Buratti, Calderón-Infante, Delgado, Uranga '21]

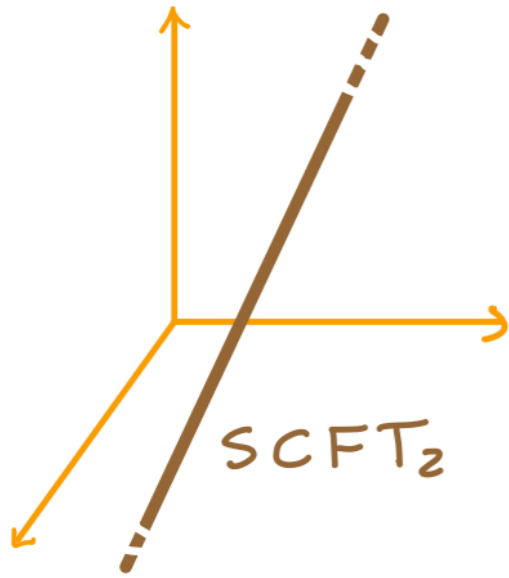
[Angius, Calderón-Infante, Delgado, Huertas, Uranga '22]

[Grimm, Lanza, Li '22]

[Fierro Cota, Mininno, Weigand, Wiesner '22]

Quantum consistency?

📌 BPS strings as quantum probes of $d \geq 5$ supergravities!



Quantum consistency of IR SCFT₂



constraints on bulk EFT

[Kim-Shiu-Vafa '19]

[Lee-Weigand '19]

[Kim-Tarazi-Vafa '20]

[Katz-Kim-Tarazi-Vafa '20]

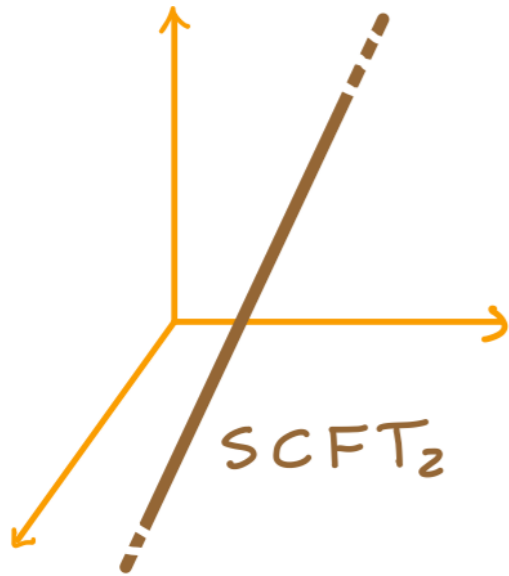
[Angelantonj-Bonnefoy-Condeescu-Dudas'20]

[Tarazi-Vafa '21]

📌 In $d = 4$ we cannot assume IR SCFT, however...

Quantum consistency?

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[Tarazi-Vafa '21]

• In $d = 4$ we cannot assume IR SCFT, however...

• ... EFT strings support weakly-coupled (0,2) NLSM!

• EFT string completeness \Rightarrow EFT quantum gravity constraints!

[Lanza-Marchesano-LM-Valenzuela '20-'21]

EFT strings probing gauge and (curvature)² terms

[LM-Risso-Weigand '22]

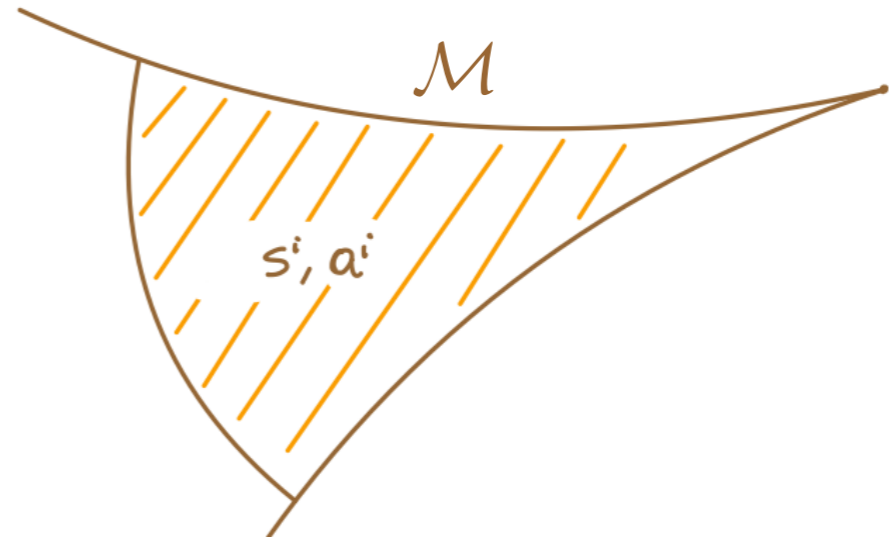
📌 Bulk perturbative gauge group:

$$-\frac{1}{2} \int \underbrace{(C_i s^i + \dots)}_{\frac{1}{g^2} \gg 1 \text{ in } \mathcal{M}} \text{Tr}(F \wedge *F) - \frac{1}{2} \int (C_i a^i + \dots) \text{Tr}(F \wedge F)$$

$\frac{1}{g^2} \gg 1$ in \mathcal{M}

\Rightarrow

$$C_i s^i > 0$$



📌 Gauss-Bonnet and Pontryagin terms

$$-\frac{1}{48} \int \underbrace{(\tilde{C}_i s^i + \dots)}_{\text{sign?}} [\text{Tr}(R \wedge *R) + \dots] - \frac{1}{48} \int (\tilde{C}_i a^i + \dots) \text{Tr}(R \wedge R)$$

sign?

positivity suggested by

[..., Kallosh-Linde-Linde-Susskind '95, Cheung-Remmen '16, GarcíaEtxebarria-Montero-Sousa-Valenzuela '20, Aalsma-Shiu '22, Chin Ong '22, ...]

📌 The axionic couplings detect the presence of EFT strings:

$$* \quad S_{\text{bulk}} \supset \int a^i I_{4,i} = - \int da^i \wedge I_{3,i}$$

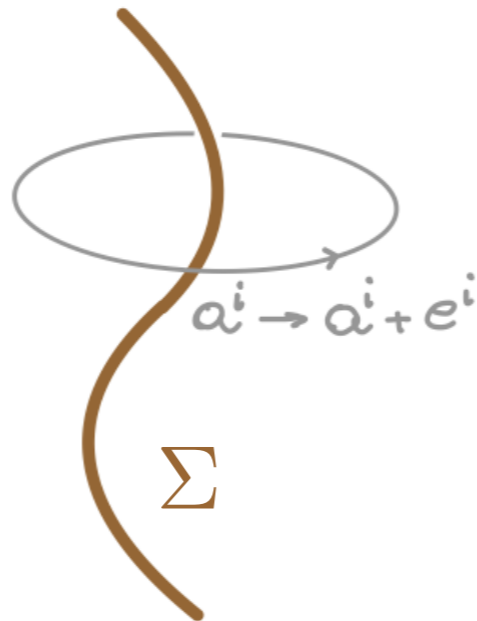
$$\text{with} \quad I_{4,i} = dI_{3,i} = -\frac{1}{2} C_i \text{Tr}(F \wedge F) - \frac{1}{48} \tilde{C}_i \text{Tr}(R \wedge R)$$

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$$* \quad d^2 a^i = e^i \delta_2(\Sigma)$$



$$\delta S_{\text{bulk}} = -e^i \int_{\Sigma} \delta I_{2,i} \neq 0 \quad (d\delta I_{2,i} = \delta I_{3,i})$$

anomaly inflow [Callan-Harvey '85]

• Anomaly inflow must be cancelled by world-sheet 't Hooft anomaly

[Callan-Harvey '85]

$$I_4^{\text{ws}} = e^i I_{4,i} = -\frac{1}{2} (e^i C_i) \text{Tr}(F \wedge F) - \frac{1}{2} (e^i \tilde{C}_i) \text{Tr}(R_{\text{T}} \wedge R_{\text{T}}) - \frac{1}{2} (e^i \tilde{C}_i) \text{Tr}(R_{\text{N}} \wedge R_{\text{N}})$$

$$G = \prod_A U(1)_A \times \prod_I G_I$$

$$SO(1, 1)_{\text{T}}$$

$$U(1)_{\text{N}}$$

- Anomaly inflow must be cancelled by world-sheet 't Hooft anomaly

[Callan-Harvey '85]

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$$G = \prod_A U(1)_A \times \prod_I G_I \quad SO(1,1)_{\text{T}} \quad U(1)_{\text{N}}$$

- Weakly-coupled (0,2) NLSM on EFT string:

Fermion	#	$U(1)_{\text{N}}$ charge	$U(1)_A$ charge	G_I repr.	(0,2) multiplet
ρ_+	1	$\frac{1}{2}$	0	1	chiral U
χ_+	n_{C}	$-\frac{1}{2}$	*	*	chiral Φ
ψ_-	n_{F}	0	q_A	\mathbf{r}_I	Fermi Ψ_-
λ_-	n_{N}	$\frac{1}{2}$	0	1	Fermi Λ_-

📌 Anomaly matching + $n_F, n_C \geq 0 \implies$ EFT constraints! [LM-Risso-Weigand '22]

$$-\frac{1}{2} \int (C_i s^i + \dots) \text{Tr}(F \wedge *F) - \frac{1}{48} \int (\tilde{C}_i s^i + \dots) [\text{Tr}(R \wedge *R) + \dots]$$

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(1)

$$\tilde{C}_i e^i \in 3\mathbb{Z}_{\geq 0}, \quad \forall \mathbf{e} \in \mathcal{C}_S^{\text{EFT}}$$



$$\tilde{C}_i s^i > 0$$

positive GB coupling!

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positive GB coupling!

(2)

$$r(\mathbf{e}) \leq r(\mathbf{e})_{\text{max}} \equiv 2\tilde{C}_i e^i - 2, \quad \forall \mathbf{e} \in \mathcal{C}_S^{\text{EFT}}$$

bounds on ranks determined by GB!

total rank of gauge group 'coupled' to the string ($C_i e^i \neq 0$)

📌 Anomaly matching + $n_F, n_C \geq 0 \implies$ EFT constraints! [LM-Risso-Weigand '22]

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(1) $\tilde{C}_i e^i \in 3\mathbb{Z}_{\geq 0}, \quad \forall \mathbf{e} \in \mathcal{C}_S^{\text{EFT}} \implies \tilde{C}_i s^i > 0$ positive GB coupling!

(2) $r(\mathbf{e}) \leq r(\mathbf{e})_{\text{max}} \equiv 2\tilde{C}_i e^i - 2, \quad \forall \mathbf{e} \in \mathcal{C}_S^{\text{EFT}}$ bounds on ranks determined by GB!

total rank of gauge group 'coupled' to the string ($C_i e^i \neq 0$)

📌 actually: $r(\mathbf{e})_{\text{max}} = \overset{\text{'t Hooft anomaly}}{r_F(\mathbf{e})_{\text{max}}} + \overset{\text{GS contribution}}{r_C(\mathbf{e})_{\text{max}}}$ [Blaszczyk-Groot Nibbelink-Ruehle, Quigley-Sethi '11]

$$\frac{4}{3} \tilde{C}_i e^i \quad \downarrow \quad \frac{2}{3} \tilde{C}_i e^i - 2$$

Simplest example

• Single-field model

$$-\frac{1}{2} \int (C_S + \dots) \text{Tr}(F \wedge *F) - \frac{1}{48} \int (\tilde{C}_S + \dots) \text{Tr}(R \wedge *R + \dots) + \dots$$

• $\{\text{saxionic cone}\} = \mathbb{R}_{>0}$, $\mathcal{C}_S^{\text{EFT}} = \mathbb{Z}_{\geq 0}$

$$(1) \quad \tilde{C} = 3k \quad , \quad k \in \mathbb{Z}_{\geq 0}$$

$$(2) \quad \text{rk}(\mathfrak{g}) \leq 2\tilde{C} - 2 = 6k - 2$$

UV test: O3/D3 models

* (s)axion: $a + is \equiv C_0 + ie^{-\phi}$

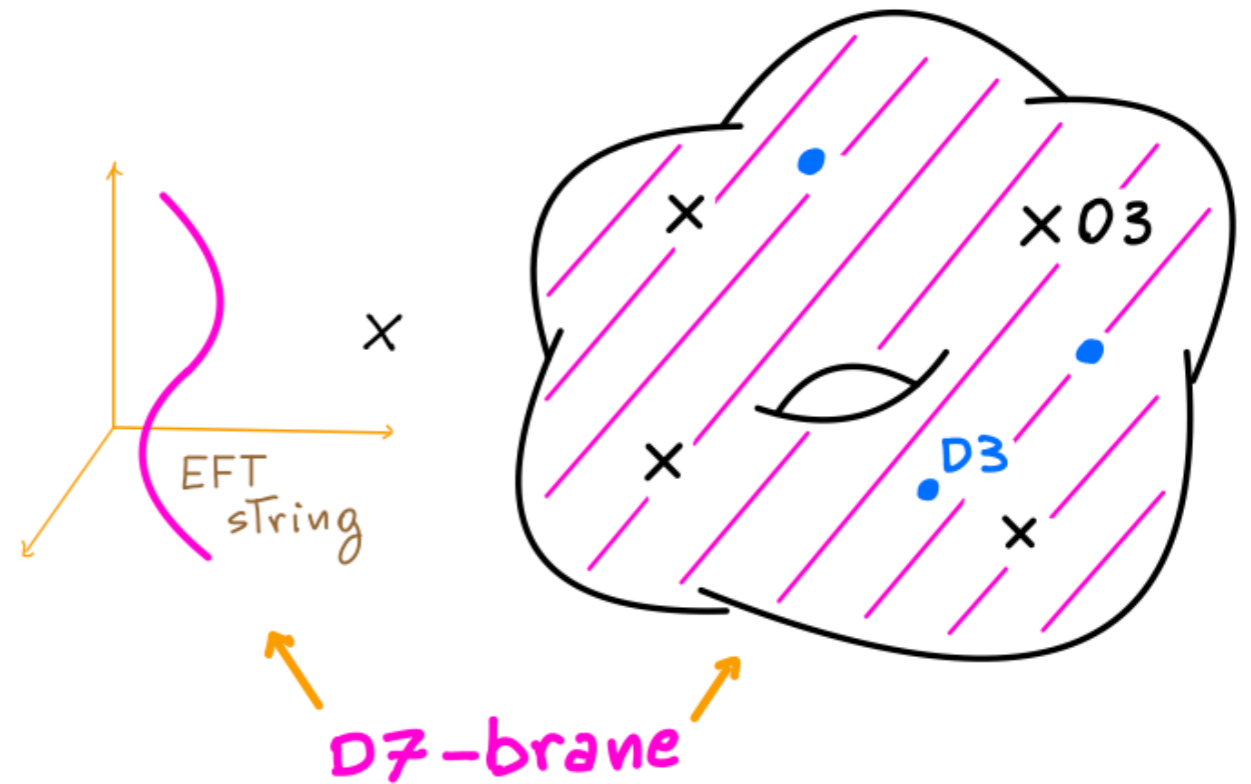
(1) $\tilde{C} = \frac{3}{16} n_{O3} \in 3\mathbb{Z}$



$n_{O3} \in 16\mathbb{N}$



[Favale '17]



(2) $r(\mathbf{e}) = n_{D3} \leq r_F(\mathbf{e})_{\max} \leq r(\mathbf{e})_{\max}$

$$\frac{4}{3} \tilde{C}_i e^i = \frac{1}{4} n_{O3}$$



$4n_{D3} \leq n_{O3}$

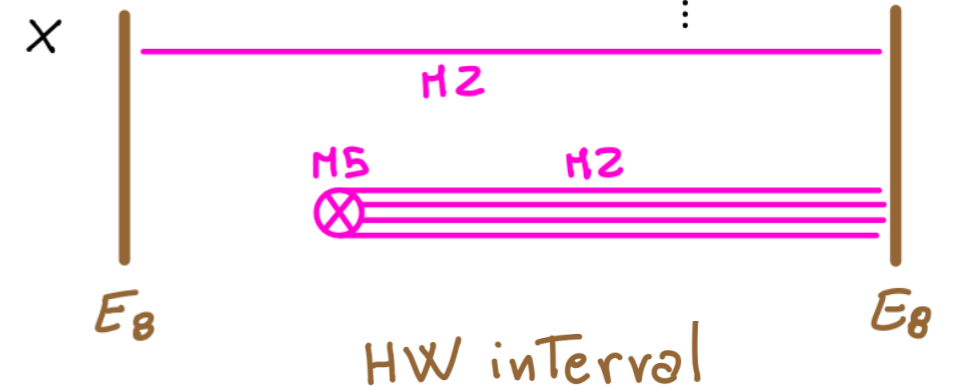
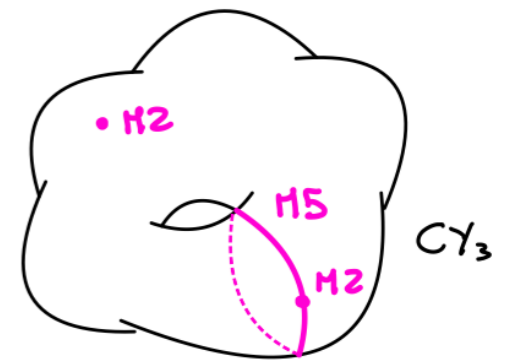
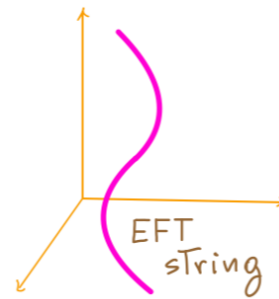


D3 Tadpole bound!

UV test: heterotic models

EFT strings:

- * F1/M2
- * NS5/M5 on nef divisors



$c_2(\text{CY}_3) + \text{internal bundle} \longrightarrow \tilde{C}_i$

* $r(\mathbf{e}_{\text{F1/M2}}) \leq 22 \sim$ perturbative sector

as with 16 supercharges
[Kim-Tarazi-Vafa '20]

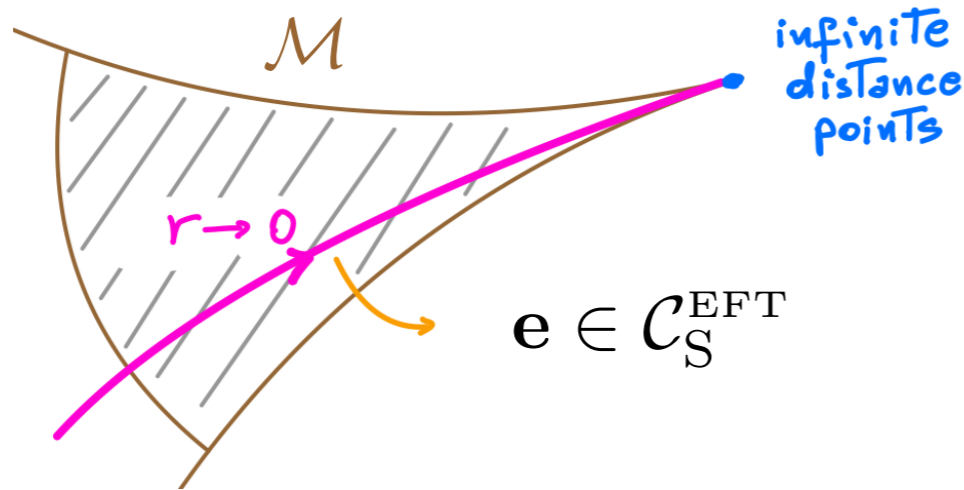
* $r(\mathbf{e}_{\text{NS5/M5}})_{\text{max}} \sim$ non perturbative sector

e.g. $r(G_{\text{non-pert}}) \leq 34$
in $T^2 \hookrightarrow \text{CY}_3 \rightarrow \mathbb{P}^2$

Conclusions

- 📌 EFT strings are physical probes of asymptotic field space regions
- 📌 Constraints on gauge and (curvature)² sectors
 - * Positivity of GB terms and upper bounds on gauge group ranks
 - * All bounds microscopically satisfied (... so far)
- 📌 Richest testing class of models: F-theory! → Timo's talk

*



Distant Axionic String Conjecture

(see also [Grimm, Lanza, Li '22])

*

$\mathcal{T}_e \rightarrow 0$ along EFT string flows

EFT realization of Distance Conjecture

[Ooguri-Vafa '06]

*

$$m_{\text{UV-tower}}^2 \sim M_{\text{P}}^2 \left(\frac{\mathcal{T}_e}{M_{\text{P}}^2} \right)^{w_e} \longrightarrow 0$$

$w_e = 1, 2, 3$
scaling weight

Integral Scaling Weight Conjecture

A subtle contribution

- Axionic strings in 4 dimensions can support additional term

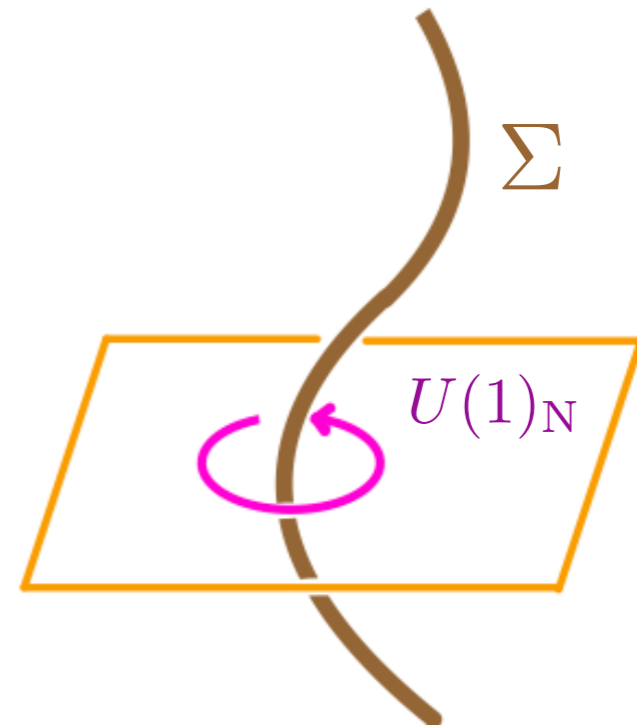
[Witten '96]

[Becker-Becker '99]

$$-\frac{1}{24} \hat{C}_{ijk} e^j e^k \int_{\Sigma} da^i \wedge A_N$$

it captures hidden 5d structure

$$\hat{C}_{ijk} \int_{5d} A^i_{\lambda} F^j_{\lambda} F^k$$



contributes to anomaly inflow and anomaly matching

$$(1) \quad \tilde{C}_i e^i + \hat{C}_{ijk} e^i e^j e^k \in 3\mathbb{Z}, \quad \forall \mathbf{e} \in \mathcal{C}_S^{\text{EFT}}$$

$$(2) \quad r(\mathbf{e}) \leq 2\tilde{C}_i e^i + \hat{C}_{ijk} e^i e^j e^k - 2, \quad \forall \mathbf{e} \in \mathcal{C}_S^{\text{EFT}}$$